



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: A8646

Lynh NGUYEN

Appln. No.: 09/612,534

Group Art Unit: 2143

Confirmation No.: 9366

Examiner: Bunjob JAROENCHONWANIT

Filed: July 07, 2000

For: LIVE CONNECTION ENHANCEMENT FOR DATA SOURCE INTERFACE

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The references listed on the attached form PTO/SB/08 A & B correspond to those references not of record in the present application but cited by the examiner (Examiner Jaroenchonwanit) in co-pending applications 09/750,475 and 09/750,432. It is believed that the

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INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/612,534

Examiner has considered all of these references in the co-pending application. They are disclosed in the present application for completeness.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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Date: June 6, 2005

Substitute for Form 1449 A &amp; B/PATENT &amp; TRADEMARK OFFICE

JUN 06 2005  
U.S. PATENT & TRADEMARK OFFICE**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

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Confirmation Number	9366
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First Named Inventor	Lynh NGUYEN
Art Unit	2143
Examiner Name	Bunjob JAROENCHONWANIT
Attorney Docket Number	A8646

Sheet

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of 3

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,601,086	B1	07-2003	Howard et al.
		US 6,574,667	B1	06-2003	Blumenau et al.
		US 6,535,518	B1	03-2003	Hu et al.
		US 6,381,606	B1	04-2002	Carpenter et al.
		US 5,960,424	A	09-1999	Sadiq, Waqar
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		US 5,592,472	A	01-1997	Grant et al.
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		US 6,591,295	B1	07-2003	Diamond et al.
		US 5,758,351	A1	05-26-1998	Gibson et al.
		US 5,023,873	A2	06-11-1991	Stevenson et al.

**FOREIGN PATENT DOCUMENTS****NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Lynch Nguyen; "Live-Connection System for Net.Data"; Net.Data @IBM Software; pp. 1	
		"Net.Data", IBM Net.Data; More Information pp.1	
		"IBM Net.Data"; IBM Security: Secure Way Product: Net.Data; pp. 1	
		"Net.Data"; IBM Software: Database and Data Ma...roduct Family: Net data: Overview; pp. 1	
		"Net.Data™ Version 3 Deploying Dynamic Data to the Web"; IBM Net.Data Brochure: Deploying Dynamic Data to the Web; pp. 1-4	
		"S/390 Division", Enterprise Server Essentials; p.1-19	
		"DB2 DataJoiner"; IBM DB2 DataJoiner Product News; pp.1	
		"DB2 Product Family"; IBM Software: Database and Data Ma...ent: DB2 Product Family: Overview; pp.1-3	
		"Question and Answer"; DB2 Server for VSE & VM - tune queries after migration; pp. 1-2.	
		"Celebrating IBM Data Management White Paper September 1998"; IBM Data Management White Papers - Celebrating IBM Data Management; pp. 1-17	
		"Data Management White Papers"; Data Management White Pages; pp. 1-10	

Examiner Signature	Date Considered
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

Substitute for Form 1449 A & B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/612,534
				Confirmation Number	9366
				Filing Date	July 07, 2000
				First Named Inventor	Lynh NGUYEN
				Art Unit	2143
				Examiner Name	Bunjob JAROENCHONWANIT
Sheet		2	of	3	Attorney Docket Number
					A8646

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)	
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		US 5,903,786		05-1999 Goto, Tetsuro
		US 6,026,413		02-2000 Challenger et al.
		US 6,014,344		01-2000 Baker, III, Robert Allison
		US 6,016,698		01-2000 Kasanami et al.
		US 6,076,092		06-2000 Goldberg et al.
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		“Introduction to Databases for the Web”; Introduction to Databases for the Web/Hierarchical Databases; pp. 1-3	
		“Introduction to Databases for the Web”; Introduction to Databases for the Web/Network Databases; pp. 1-2	
		“Conditional Selection”; Learn SQL - Conditional Selection; pp. 1-2.	
		“Basics of the Select Statement”; Learn SQL - Basics of the Select Statement; pp. 1-2	
		“The DB2 Family An Analysis of the Business Benefits Available”; DB2 For Business; Rob Hailstone; White Paper Number 98.01; pp. 1-40.	
		“Application Productivity Tools”; DB2 Notes (From White Paper); Susan Gausden; pp. 1-4.	
		“Application Productivity Tools”; DB2 notes (from white paper); Susan Gausden; pp. 1-46	

Examiner Signature		Date Considered
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		Number	Kind Code <sup>2</sup> (if known)	
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		US 6,697,073		02-2004 Kadota, Masatoshi
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		US 2002/0145622		10-2002 Kauffman et al.
		US 2003/0200226		10-2003 Wells et al.
		US 2003/0233357		12-2003 Merenda et al.
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		US 5,561,763		10-1996 Eto et al.
		US 5,765,154		06-1998 Horikiri et al.
		US 5,930,786	A	07-1999 Carino et al.

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		Renard., "Implementing a Web Server on OS/390: Part IV - Interconnecting Web Applications with DB2 Databases or CICS Transaction Servers", Technical Support, December 1998, Technical Enterprises, Inc. WWW.nasp.net, 4 pages.		
		Baker, "Changing Communication Environments in MosquitoNet", Computer Science and Electrical Engineering Dept. Standford University, IEEE, 1995, Pages 68-68		
		Mukherjee, "Automating Forms Publishing with the Intelligent Filling Manager", IEEE, 1999, Pages I-308 to I-313		

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